



University of Pennsylvania-Securities Transfer Request

Section I. This is a request to (please select one)

- I wish to donate shares of stock to the University of Pennsylvania. Shares can be transferred directly from your brokerage account to Penn's account. **Please complete the form below in its entirety and send a copy or fax to your broker to initiate the transfer.** A copy or fax of the form must be sent to the University of Pennsylvania Securities Office at 215-573-6602. Please see Penn's mailing address below.
- I wish to donate mutual funds or other types of financial instruments to the University of Pennsylvania. Please contact or ask your broker to contact the University of Pennsylvania Securities Office at 215-898-7254 for further information.

Section II. Personal Information

Name _____

Home Address _____

City _____ State _____ Zip Code _____

If Penn alumnus: degree year _____ school _____

Section III: Gift Information **PLEASE BE SURE TO CONTACT YOUR BROKER TO INITIATE THE TRANSFER**

Your broker's name _____ Broker's telephone number _____

of Shares _____ Security Name _____

Ticker Symbol (if known) _____ Gift Designation _____

Please accept this security transfer request as your authorization to transfer from account _____ to the University of Pennsylvania's account as follows:

Firm: Morgan Stanley Private Wealth Management
attention: Kristine Marrone, telephone: 610-260-8531

DTC: 0015

Customer Name: University of Pennsylvania Tax ID: 23-1352685

Account Number: 858-010018-019

Penn Contact: Jean Suta, Treasurer's Office, telephone: 215-898-7254
427 Franklin Building
3451 Walnut Street
Philadelphia, PA 19104-6205
Email: jeanie@upenn.edu

Signature(s) of account owner(s) _____

Please be sure that the University's securities gift office is notified of the details of your stock gift to ensure proper acknowledgement of your gift. Please fax a copy of this transfer request form to the University's Securities Office at 215-573-6602 or a copy can be mailed to Penn's address noted above. **Please be sure to contact your broker to initiate the transfer.** Thank you!